

NIRMA UNIVERSITY
Institute of Commerce
Bachelor of Commerce (Hons.)
[B.Com. (Hons.)]
Semester –VI

L	T	P	C
4	1	-	5

Course Code	DSE-B-5
Course Title	Merchant Banking and Financial Services

Course Learning Outcomes (CLO):

At the end of the course, students will be able to:

- Find coherence of portfolio advisory, investment banking and leasing services.
- Outline various aspects of merchant banking services.
- Examine the issues involved in providing merchant banking and financial services.

Syllabus

Teaching Hours

<p>Unit I: Merchant Banking, Depository and Custodial Services</p> <ul style="list-style-type: none"> • Concept, role and services of merchant banks • Merchant Banking, Regulatory Framework • NSDL and CDSL Overview • Custodian Services :Overview, Registration and Services covered 	10
<p>Unit II: Managing Security Issues</p> <ul style="list-style-type: none"> • Public Issue Management (PIM) • Marketing of Equity Shares • Prospectus • Underwriting Services • Depository Receipts 	12
<p>UNIT III: Portfolio and Advisory Services</p> <ul style="list-style-type: none"> • Portfolio Management Services • M & A Advisory Services • Credit and Debit Syndication Services • Electronic Financial Services 	15
<p>UNIT IV: Investment Banking Services</p> <ul style="list-style-type: none"> • Risk Management Services • Stock Broking Services • Wealth Management Services • Bill Financing Services 	13

<p>Unit V: Leasing and Hire Purchase</p> <ul style="list-style-type: none"> • Leasing concept, types and operation • Rights and liabilities of Lessor and Lessee • Structuring of EMIs • Hire purchase – Overview, Difference between hire purchase and leasing • Advantage and Disadvantage of leasing to Buyer and seller 	<p>10</p>
---	-----------

Suggested Readings:

Machiraju, H. R., *Merchant Banking*, New Age International Publishers.

Khan, M. Y., *Financial Services*, Mc Graw Hill, New Delhi.

Gurusamy, S., *Merchant Banking and Financial Services*, Mc Graw Hill, New Delhi.

Natarajan, D. L. *Merchant Banking and Financial Services*, Margham Publications.

Goel, S., *Financial Services*, PHI Pvt Ltd.

Padamlatha, S. and Paul, J., *Management of Banking and Financial Services*, Pearson